



**NAUGATUCK VALLEY
COUNCIL of GOVERNMENTS**

49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702 • 203-757-0535 • 203-735-8688 • nvcogct.org

Disadvantaged Business Enterprise Goal

FFYs 2020-2022

*Prepared by
Gabriel Filer
July 2019*

Proposed DBE Goals

The following are proposed participation goals for Disadvantaged Business Enterprises in federally-assisted procurements from the Naugatuck Valley Council of Governments:

Overall DBE Goal	4.9%
Race-Neutral Goal	1.1%
Race-Conscious Goal	3.8%

Goal Methodology

The Naugatuck Valley Council of Governments (NVCOG) Disadvantaged Business Enterprise (DBE) goal is based on the Federal financial assistance we will expend in DOT assisted contracts, exclusive of Federal Transit Administration (FTA) funds to be used for the purchase of transit vehicles. The following is a summary of the method used to calculate this goal¹:

1. Projection of the number and type of contracts to be awarded by the Agency. The Agency's total budget of contracting opportunities for the next three (3) years was determined. Non-contracting opportunities were eliminated from the base. These included salaries, related fringe benefits, and public utilities. The total dollars remaining became the total contracting dollar opportunities.
2. Determination of the base figure for the relative availability of DBEs. NVCOG used the Connecticut DOT (CT DOT)'s lists of Prequalified Construction Firms (ed. February 5th, 2019) and Prequalified Consultants (Year 2019) to gain a representative sample of ready, willing, and able firms doing business relevant² to the needs of NVCOG and within NVCOG's likely region of procurement (identified as Fairfield, Hartford, Litchfield, and New Haven Counties). These two lists were then compared against CT DOT's list of certified DBEs to identify what proportion of these firms are certified with the Connecticut UCP.³
3. Adjustments to this base figure were made by weighting the proportion of dollars likely available for consultants and contractors over the coming three-year period. Because all firms on CT DOT's prequalified list engage in work relevant to transportation and facility construction, and because the exact nature of work performed by each agency was not readily available via the prequalified lists, work was divided between contracting work and consulting work available.
4. Relevant agencies were consulted face-to-face and over the phone to determine whether any changes or adjustments might be necessary to address the needs of DBEs in our region.
5. Third party reports were reviewed to determine whether there was adequate reason to further adjust the DBE goal.

¹ This methodology is repeated from the NVCOG DBE Program Plan (in publication).

² "Relevant" is defined specifically in the Goal Calculation section, but indicates that the business is in an industry in which NVCOG expects to procure in the next three years.

³ NVCOG compared DBEs doing work in relevant NAICS codes from the UCP's listings against the total number of firms available through the US Census Bureau's County Business Patterns (CBP 2016) but found that the DBE list was not comparable to the CBP list. The CBP method provided an unadjusted DBE availability of 1.3%, which is out of line with DBE participation at comparable agencies. The final approach used overcomes the deficiency by using more comparable data.

Goal Calculation

- Total contracting dollar opportunities: \$2,927,588.00, which excludes any vehicle purchases and salaries and overhead. Grant numbers refer to FTA grants awarded to NVCOG has recently combined several grants and the new award numbers are reflected below.

FTA Grant	Balance	Anticipated NAICS	Contracting Amt	Consulting Amt
CT-2016-013	\$1,348,546	236220, 237, 238, 42, 511110, 541330, 541620, 924110	\$1,348,546	\$-
CT-2016-014	\$212,111	323, 51, 541	\$-	\$212,111
CT-2016-016	\$681,016	485991, 236220, 323, 51, 237, 238	\$681,016	\$-
CT-2018-015	\$300,000	485991, 336211, 336211, 485113	\$300,000	\$-
Anticipated Grant	\$200,000	237990, 334519, 541330	\$200,000	\$-
Anticipated Grant	\$350,000	236220, 237, 238, 42, 541330, 541620, 924110	\$280,000	\$70,000
Subtotal	\$3,091,673		\$2,809,562	\$282,111
Salaries, FOH	(164,085)		(\$149,112)	(\$14,973)
Total	\$2,927,588		\$2,660,450	\$267,138

- Base figure of relative availability (of the relevant subset of firms):

$$\left(\frac{\text{Certified DBE firms}}{\text{Total firms}} \right) = \left(\frac{11}{182} \right) = 6.04\%$$

- Adjusted base figure based on weighting:

$$\left(\frac{\text{Cert. DBE Contractors}}{\text{Total Contractors}} \right) \times \left(\frac{\text{Contracting Amt.}}{\text{Total Available}} \right) + \left(\frac{\text{Cert. DBE Consultants}}{\text{Total Consultants}} \right) \times \left(\frac{\text{Consulting Amt.}}{\text{Total Available}} \right)$$

$$\left(\frac{5}{108} \right) \times 90.88\% + \left(\frac{6}{74} \right) \times 9.12\% = 4.9\%$$

- The following organizations were part of a consultative process to determine the state of DBE affairs in the region and statewide:
 - Western Connecticut SCORE
 - Waterbury Development Corp
 - Greater Waterbury Chamber of Commerce
 - CT DOT Office of Contract Compliance (the UCP)

Additionally, the following agencies were invited to discuss the needs and challenges of DBEs in our region, but did not respond to outreach:

- Greater Valley Chamber of Commerce
- Waterbury Hispanic Coalition
- Greater New England Minority Supplier Development Council
- Women's Business Development Council
- Urban League of Southern Connecticut

From the initial consultative process, no cause was indicated that would encourage NVCOG to alter its weighted DBE goal of 4.9%.

- NVCOG reviewed the United Way ALICE Reports, the DataHaven Greater New Haven Community Index, and the Connecticut Economic Resource Center reports for our municipalities. We have decided to keep the DBE goal of 4.9%. Our experience using the UCP's DBE list and speaking with advocacy organizations indicates that it is likely that DBEs are under-registered in our state. The number of DBE firms in our region has declined since the last DBE Goal was published.

Race-Neutral vs. Race-Conscious Participation

NVCOG's previous DBE Goal was met through race-neutral participation in contracts. On the other hand, NVCOG has not met its goal for race-conscious participation. Going forward, NVCOG intends on continuing to meet its DBE Goal through race-neutral participation.

Race-neutral participation has comprised the majority of our DBE contracts. Over the last three years a large construction project has led to more subcontracting opportunities, however due to the completion of the Transit Headquarters NVCOG intends on maintaining the 1.1% goal set for race-neutral contracts. It is critical for NVCOG to put in place attainable contract goals given the nature of projects it might undertake. Therefore, NVCOG will set a 3.8% DBE Goal through race-conscious means. The DBE Goal was broken down in this manner because our last race-neutral goal was set at 1.1%, and NVCOG does not foresee any significant changes to this breakdown.

Contract Goals

NVCOG intends on fulfilling its DBE Goals through race-neutral participation. NVCOG has applied their race-neutral goals on the contract(s) pertaining to the Valley Transit District headquarters (and bus facilities) and the Route 8 Alt. Modes Analysis. NVCOG foresees utilizing these goals on future projects including the Valley Transit Bus Shelters.

Counting DBE Participation

DBE participation is based on the total amount of DOT assistance received by NVCOG in any contract awarded to an eligible DBE participant (a firm certified by Connecticut Unified Certifying Program, or UCP). The following standards will be used to determine DBE participation:

1. NVCOG and prime contractors may count only awards/expenditures to DBEs that perform a commercially useful function in the contract, as defined in the NVCOG DBE Program Plan.
2. NVCOG will count awards/expenditures for materials, supplies, or services from DBEs *provided* that the DBEs assume the actual and contractual responsibility for the provision of the materials, supplies, or services. The following are the allowable percentages:
 - a. Sixty percent (60%) of the dollar value of the award/expenditure may be counted toward the DBE goal if the DBE supplier is not a manufacturer. One hundred percent (100%) of the dollar value of the award/expenditure may be counted toward the DBE goal if the DBE supplier is a manufacturer. Sixty percent (60%) of the dollar value of the award/expenditure may be counted toward the DBE goal if the materials or supplies are obtained from a DBE "regular dealer."

Quotas

NVCOG does not use quotas in any way in the administration of the DBE program.

Public Participation

The following organizations were consulted in-person and/or via telephone in the development of this DBE goal:

- Waterbury Development Corporation
- Greater Waterbury Chamber of Commerce
- SCORE Western Connecticut
- Connecticut DOT DBE Program

Additionally, the following organizations were contacted but did not respond to inquiries for consultation:

- Waterbury Hispanic Coalition
- Greater Valley Chamber of Commerce
- Women's Business Development Council
- New England Minority Suppliers
- Urban League of Southern Connecticut

A draft version of the DBE Goal Submission was made available to the public on 7/11/19 via the NVCOG website, and was shared via social media and via legal notices in newspapers of record in Hartford, New Haven, and Bridgeport. There were no comments submitted.

A public hearing was held 7/23/19 at the Naugatuck Valley Council of Governments offices, and was advertised in the public notice and via community calendars in the Lower Valley and Greater New Haven. There were no attendees at the public hearing.

Availability and Translations

The final DBE goal is available on the NVCOG's website: www.nvcogct.gov. Physical copies are obtainable by writing or in person at the NVCOG office at the following address:

Naugatuck Valley Council of Governments
49 Leavenworth Street, 3rd Floor
Waterbury, CT 06702

or via phone at 203-757-0535 or email at nvcogct@nvcogct.org.

en	Translations available by request.
es	Traducciones disponibles bajo petición.
it	Traduzioni disponibili su richiesta.
pl	Tłumaczenia dostępne na zamówienie.
pt	Traduções disponíveis mediante solicitação.
sq	Përkthime në dispozicion me kërkesë.
zh	可根据要求提供翻译。



**NAUGATUCK VALLEY
COUNCIL of GOVERNMENTS**

49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702 • 203-757-0535 • 203-735-8688 • nvcogct.org

NAUGATUCK VALLEY COUNCIL OF GOVERNMENTS

SIGNED CERTIFICATION

Disadvantaged Business Enterprise (DBE) Policy Program and Goal

I certify that the Naugatuck Valley Council of Governments updated its Disadvantaged Business Enterprise Program FFYs 2020-2022, and the NVCOG Disadvantaged Business Enterprise Goal FFYs 2020-2022, both documents dated July 2019.

Dated at Waterbury, Connecticut on July 30, 2019

Agency: Naugatuck Valley Council of Governments

Signed _____
Rick Dunne, Executive Director

logged in as **Gabe** | [Your Account](#)

[add an event](#) | [Log Out](#)

[Back to the Calendar](#)

Sponsored by The Community Foundation for Greater New Haven and The New Haven Independent

[Edit this Event](#)

Tue Jul 23, 2019 5:30pm

Disadvantaged Business Enterprise (DBE) Goal Update

Sponsored by: Naugatuck Valley Council of Governments

The Naugatuck Valley Council of Governments (NVCOG) has prepared a Disadvantaged Business Enterprise (DBE) Program and established a DBE goal of 5.3% for Federal Fiscal Years 2020-2022.

There will be a public hearing Tuesday, July 23th at 5:30 p.m., at 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702.

Comments should be sent to Gabriel Filer, Civil Rights Officer, NVCOG, 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702, (203) 757-0535, or via email at gfiler@nvcogct.org.

Admission: **FREE**

Naugatuck Valley Council of Governments

49 Leavenworth Street, 3rd Fl

Waterbury

[get directions](#)

[more info](#) »

Download File: [DBE_Goal_2019_DRAFT_for_Public_Comment.pdf \(137.9KB\)](#)

Public Hearing – DBE Goal Update

[Home](#) / [Homepage](#) / [Public Notices](#) / Public Hearing – DBE Goal Update

Posted on July 11, 2019 | In [Homepage](#), [Public Notices](#)

The Naugatuck Valley Council of Governments (NVCOG) has prepared a Disadvantaged Business Enterprise (DBE) Program and established a **DBE goal of 5.3%** for Federal Fiscal Years 2020–2022. The public is encouraged to comment on the Draft DBE Goal, available online at www.nvcogct.gov and www.valleytransit.org, and from 8:30 a.m. to 4:30 p.m., Monday through Friday, at the NVCOG offices located at **49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702** and at the Valley Transit District (VTD) located at **41 Main Street, Derby, CT 06418**. There will be a public hearing Tuesday, July 23th at 5:30 p.m., at 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702. Comments should be sent to Gabriel Filer, Civil Rights Officer, NVCOG, 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702, **(203) 757-0535**, or via email at gfiler@nvcogct.org.

2019 DBE Goal DRAFT

[f Facebook](#) [t Twitter](#) [in LinkedIn](#)



[The July Newsletter Has Arrived!](#)

[Previous](#)

A Council of Governments & Metropolitan Planning Organization

Employment
Website Feedback
For Vendors
Contact Us
For Staff

The Naugatuck Valley Council of Governments is proud to be an Equal Employment Opportunity and Affirmative Action employer. We do not discriminate based upon race, religion, color, national origin, gender (including pregnancy), sexual orientation, gender identity, gender expression, age, status as a protected veteran, status as an individual with a disability, or other applicable legally protected characteristics.

Naugatuck Valley Council of Governments
49 Leavenworth Street, 3rd Floor, Waterbury, Connecticut 06702
203-757-0535 / 203-735-8688
info@nvcogct.org

© All rights reserved

[Sitemap](#) [Civil Rights](#) [Privacy Statement](#) [Accessibility Statement](#) [Site by MRW](#)

**Legals/
Public Notices**

**LEGAL NOTICE
NOTICE TO TAXPAYERS
PINE MEADOW FIRE DISTRICT**
Notice is hereby given to all persons liable by law to pay property taxes to the Pine Meadow Fire District, County of Litchfield, State of Connecticut, that a tax of 2.3 mills on the dollar on the Grand List of October 1, 2018 laid by the Pine Meadow Fire District on April 15, 2019 will become due and payable on July 1, 2019. Payments not postmarked on or before August 1, 2019 are subject to interest penalty of 1 1/2 % per month from the DUE DATE (August payment = 3%) of the bill. Any fractional part of a month is considered to be equivalent to the whole month. Minimum interest is \$2.00 per bill. Checks should be mailed to Tax Collector, PO Box 93, Pine Meadow, CT 06061. CHECKS THAT INCLUDE TOWN OF NEW HARTFORD PAYMENTS CANNOT BE ACCEPTED AND WILL BE RETURNED POSSIBLY RESULTING IN LATE FEE. Failure to receive a bill does not invalidate or excuse the tax or subsequent interest charged. Dated at Pine Meadow, CT this 1st date of July 2019. Tax Collector Pine Meadow Fire District R-A July 14 & 28, 2019

**Legals/
Public Notices**

**LEGAL NOTICE
FORECLOSURE AUCTION SALE**
Case Name: FAIRFIELD COUNTY BANK VS. JAMSHED BATLIWALA, ET AL
Docket No. UWY-CV19-6045762-S
Property Address: 276-A Heritage Village, Condominium 7, Southbury CT 06488
Property Type: Town Style Condominium
Date of Sale: Saturday, July 20 at 12:00 noon.
Committee: David V. DeRosa
Committee Phone Number: (203) 729-4246
See Foreclosure Sales at www.jud.ct.gov for more detailed information. R-A July 7 & 14, 2019

**NOTICE TO CREDITORS
ESTATE OF Gordon R. Trafford
(19-00479)**

The Hon. Matthew P. Vaccarella, Judge of the Court of Probate, District of Waterbury Probate Court, by decree dated June 11, 2019, ordered that all claims must be presented to the fiduciary at the address below. Failure to promptly present any such claim may result in the loss of rights to recover on such claim.

Matthew P. Vaccarella, Judge

The fiduciary is:
Janet T. Lewis
135 Madera Drive,
Waterbury, CT 06704
R-A July 14, 2019

**LEGAL NOTICE
FORECLOSURE AUCTION SALE**

DOCKET NO.
UWY-CV-18-6042896-S

CASE NAME:
Connecticut Housing Finance Authority v. Therald Epps, Et Al

PROPERTY ADDRESS:
899 Pearl Lake Road,
Waterbury, Connecticut

PROPERTY TYPE:
Single-Family Residence

DATE OF SALE:
July 27, 2019
12:00 Noon

COMMITTEE NAME:
Joseph A. Geremia, Jr.
COMMITTEE PHONE NO.
203-575-1636

See Foreclosure Sales at www.jud.ct.gov for more detailed information.

RA July 14 & 21, 2019

**LEGAL NOTICE
FORECLOSURE AUCTION SALE**

DOCKET NUMBER:
UWY-CV17-6036203-S

CASE NAME:
Ion Bank FKA Naugatuck Savings Bank
vs. Paul E. Niesobecki Et Al

PROPERTY ADDRESS:
408 Three Mile Hill Road,
Middlebury, Connecticut 06762

PROPERTY TYPE:
Residential

DATE OF SALE:
Saturday, July 20, 2019
at 12:00 Noon
INSPECTION TIME:
10:00 am to 12 noon
on day of sale

**Legals/
Public Notices**

**LEGAL NOTICE
FORECLOSURE AUCTION SALE**

DOCKET NUMBER:
UWY CV 18-6044059-S
CASE NAME:
Select Portfolio Servicing,
Inc. v. Bullard, Brooks, Et Al.

PROPERTY ADDRESS:
647 Breakneck Hill Road
Middlebury, CT

PROPERTY TYPE: Residential

DATE OF SALE:
July 27, 2019

COMMITTEE NAME:
Maureen A. Sheehan
COMMITTEE PHONE:
860-833-8001

See Foreclosure Sales at www.jud.ct.gov for more detailed information.

RA July 14 & 21, 2019

**Real Estate
For Rent**

**Apartments
Condos
Garages
Houses
Mobile homes
Motels & hotels
Out-of-town
Rental services
Roomates
Rooms
Storage
Suburban
Vacation
Wanted to rent**

**Apartments
for rent**

NAUGATUCK 1 bedroom, clean, quiet, off-st. parking. \$700/mo. plus utils. Doug, 203-558-9862

NAUGATUCK 2 units: 3BR kit, DR, LR, FR, bsmt, new appls \$1350; 2BR new appls \$950. 203-233-1118

NORTHFIELD/LITCHFIELD Country setting 2 BR apt., 1st, last & sec. required \$875/mo. 203-592-5921

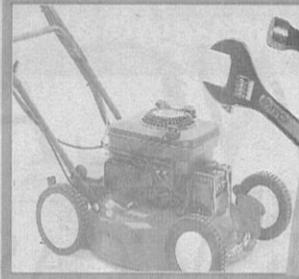
Waterbury 1BR, 2BR, Studios
Lagasse Apartment Rentals
UTIL. INCLUDED \$575 to \$900
www.LagasseApartments.com
Call 203-753-3239

WATERBURY
★
1ST MONTH RENT FREE
studio \$555 1BR \$725 all util inc
Call Cristina 203-509-2025
Call Enitza 203-560-9702
SE HABLA ESPANOL

WATERBURY 3BR apt. Newly renov., WD hkup, gar., 3rd flr.
Call 203-510-3621

WATERBURY: 7 Plaza Ave. Now accepting applications for 1 & 2BR apts. HT/HW incl. 1 BR \$675/mo. plus sec. Call Debbie Yanzo, 203-510-5951

WATERBURY
EAST END 1 BR apts. Some newly remodeled, on-site laundry, on busline, \$725-\$750. Credit check. 203-725-6121



Moving

DON'S * MOVING
203-509-1488 * 203-272-3032

**Asphalt
paving**

BRASS CITY PAVING - CT#575141
Paving driveways, sealing & repairs. Free est. 203-574-2693

R.P. DALTON INC.
Asphalt Paving
CT# 515588 203-753-3621

Carpentry

DN CARPENTRY Window, doors, decks, baths, drywall, trim, paint, fin.bsmt #614783, ins 203-233-0795

Electrical

DW ELECTRICAL Any size jobs, srvc grounding, upgrades, rem. Free est #182995, 203-592-3562

**INCREASE B
SAVE D**

**Houses
for rent**

PROSPECT 6 Buckley La. single fam. totally renov, 3BR, 1 bth. 1/2 ac \$1350. Call/text 203-889-6809

THOMASTON 2 BR, new kitchen, 2 large decks, private, dead end. \$1300/mo. Call 203-537-0092

THOMASTON 3 flrs, 3 BRs, DR, Kit, LR & office, appls incl. 1 full bath, 1 half bath, finished bsmt, 1-car gar. No dogs. 2 min to Rt 8. \$1400/mo. Call 347-513-4149

WATERBURY 88 Garden Hill Circle 3 BR Cape, 1 1/2 baths, off-st prkg., fenced-in yard, \$1200. Lease & deposit. 203-206-9004.

Roommates

WATERBURY male to share with same 2BR, 2 bath, kitchen, reference \$600/mo. 203-756-0013

Rooms

WATERBURY furnished bedroom,

Gutter

PEET SE
Installs, c
repairs 86

**Hauling
service**

JOE ROCCO
Est. Clear-
Gar., Dem
unwanted
Yd. Mstrca

JOE'S JUNK
Furn, appl, s
hot tubs, V.
Call

**Home
impro**

The Prospe
Decks, w
Lic# 0651

**LEGAL NOTICE
Naugatuck Valley Council of
Governments**

DBE Goal Update

The Naugatuck Valley Council of Governments (NVCOG) has prepared a Disadvantaged Business Enterprise (DBE) Program and established a DBE goal of 5.3% for Federal Fiscal Years 2020-2022.

The public is encouraged to comment on the Draft DBE Goal, available online at www.nvcogct.org and www.valleytransit.org, and from 8:30 a.m. to 4:30 p.m., Monday through Friday, at the NVCOG offices located at 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702 and at the Valley Transit District (VTD) located at 41 Main Street, Derby, CT 06418.

There will be a public hearing Tuesday, July 23th at 5:30 p.m., at 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702.

Comments should be sent to Gabriel Filer, Civil Rights Officer, NVCOG, 49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702, (203) 757-0535, or via email at gfiler@nvcogct.org.

RA July 14, 2019

**REQUEST FOR PROPOSAL (RFP)
ELEVATOR ADDITION AND
ELEVATOR UPGRADES**

The Winchester Housing Authority is seeking proposals for a Construction Manager at risk to provide services to manage the construction of a four (4) stop elevator addition and the repair of the present elevator located at Chestnut Grove, 80 Chestnut Street, Winsted, CT, a U.S. Department of Housing & Urban Development (HUD) federally funded, low income housing development.

Procurement and contract award are subject to all appli-

DOCKET NUMBER:
UWY-CV17-6036203-S

CASE NAME:
Ion Bank FKA Naugatuck Savings Bank
vs. Paul E. Niesobecki Et Al

PROPERTY ADDRESS:
408 Three Mile Hill Road,
Middlebury, Connecticut 06762

PROPERTY TYPE:
Residential

DATE OF SALE:
Saturday, July 20, 2019
at 12:00 Noon
INSPECTION TIME:
10:00 am to 12 noon
on day of sale

